(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date 29 January 2004 (29.01.2004)

PCT

(10) International Publication Number WO 2004/010471 A3

(51) International Patent Classification7:

H01L 21/20

(21) International Application Number:

PCT/US2003/022385

(22) International Filing Date:

18 July 2003 (18.07.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/396,734

19 July 2002 (19.07.2002) US

- (71) Applicant (for all designated States except US): AVIZA TECHNOLOGY, INC. [US/US]; 440 Kings Village Road, Scotts Valley, CA 95066 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): YOSHIHIDE, Senzaki [US/US]; 400 Clubhouse Drive, Aptos, CA 95003-4822 (US).
- (74) Agents: SWIATEK, Maria, S. et al.; Dorsey & Whitney LLP, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

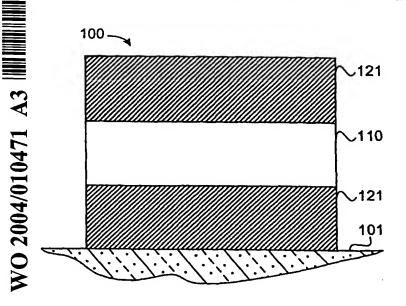
as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, Fl, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 13 May 2004

[Continued on next page]

(54) Title: IN-SITU FORMATION OF METAL INSULATOR METAL CAPACITORS



(57) Abstract: The invention describes an in-situ method of fabricating a metal insulator metal (MIM) capacitor and products formed by the same. The method utilizes atomic layer deposition (ALD) or metal-organic chemical vapor deposition (MOCVD). In the method, a metal precursor is sequentially reacted with a nitrogen source, oxidant, and then a nitrogen source again. Reaction with the nitrogen source generates the outermost conductive metal nitride (MN) layers (121). Reaction with the oxidant generates an inner dielectric metal oxide (MOx) layer (110). Alternatively, or in addition, the metal precursor can be reacted with a mixture of oxidant and nitrogen source to generate inner dielectric layer(s) (231, 232, 310) of metal oxynitride (MO_xN_y). Because the same metal is used throughout the capacitor, the layers in the MIM capacitor exhibits excellent compatibility and stability.

WO 2004/010471 A3

 $2^{n} = n$

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/22385

A. CLASSIFICATION OF SUBJECT MATTER					
IPC(7) : H01L 21/20 US CL : 438/396					
OS CL: 438/396 According to International Patent Classification (IPC) or to both na	tional classification and IPC				
B. FIELDS SEARCHED					
Minimum documentation searched (classification system followed by	ov classification symbols)				
U.S.: 438/396, 393,239,240,785,250					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST 2.3, INSPEC and IEEEXplor (MIM adj5 capacitor) and ((metal adj nitride) adj5 electrode)					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category * Citation of document, with indication, where a		Relevant to claim No.			
A US 6,573,150 B1(URDAHL et al) 3 JUNE 2003 (03	3.06.2003) column 4, line 50 - column	1-15			
5, line 64) US 2002/0074584 A1 (MICRON TECH. INC.) 20 [0041]	1-15				
. .	l				
	l				
	i				
	ł	At			
]	l				
] .					
· · · · · · · · · · · · · · · · · · ·					
	ł				
1 ·	• ,				
·	İ				
	<u>.</u>				
Further documents are listed in the continuation of Box C.	See patent family annex.				
Special categories of cited documents:	"T" later document published after the inte				
"A" document defining the general state of the art which is not considered to be	date and not in conflict with the applic principle or theory underlying the inve				
of particular relevance	"X" document of particular relevance; the				
"E" earlier application or patent published on or after the international filing date	considered novel or cannot be conside when the document is taken alone				
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as	"Y" document of particular relevance; the				
specified)	considered to involve an inventive ster combined with one or more other such	p when the document is			
"O" document referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in th				
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent				
Date of the actual completion of the international search	Date of mailing of the international sear				
06 March 2004 (06.03.2004)		2 4 MAR 2004			
Name and mailing address of the ISA/US	Authorized officer	·			
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Craig A. Thompson				
P.O. Box 1450	Telephone No. (571)272-1669				
Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230					

Form PCT/ISA/210 (second sheet) (July 1998)

INTERNATIONAL SEARCH REPORT



International application No.

PCT/US03/22385

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)			
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:			
1.		Claim Nos.: because they relate to subject matter not required to be searched by this Authority, namely:	
2.	\boxtimes	Claim Nos.: 16 because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: Please See Continuation Sheet	
3.		Claim Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)			
This International Searching Authority found multiple inventions in this international application, as follows:			
		·	
		•	
1.		As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.	
2.		As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	
3.		As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:	
		·	
4.		No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	
Ren	mark on	Protest The additional search fees were accompanied by the applicant's protest.	
		No protest accompanied the payment of additional search fees.	

	PCT/US03/22385
INTERNATIONAL SEARCH REPORT	

Continuation of Box I Reason2:	
Claim 16 refers to a substrate formed by previous steps, however, all of the other	claims are drawn to a method for making an active
MIM device. Furthermore, claim 1, on which all claims directly or indirectly depowere already provided for forming the capacitor(s) thereon. There are no substrate	end, mentions the substrate in the preamble as if it
were already provided for forming the capacitor(s) thereon. There are no substrat	te forming steps in the claims that provide a basis for
searching claim 16.	
,	
·	
·	
	•
	•
	•
	•

Form PCT/ISA/210 (second sheet) (July 1998)